



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3827

|   |   |   |   |   |                                 |
|---|---|---|---|---|---------------------------------|
| <b>SERIAL NUMBER</b><br>10/791,918  | <b>FILING OR 371(c) DATE</b><br>03/03/2004<br><b>RULE</b>   | <b>CLASS</b><br>705                       | <b>GROUP ART UNIT</b><br>3693   | <b>ATTORNEY DOCKET NO.</b><br>120255-303064 |                                 |
| <b>APPLICANTS</b><br>John Edward Woods, Sutton, UNITED KINGDOM;<br>John Michael Young, Bushey, UNITED KINGDOM;  |   |   |   |   |                                 |
| <b>** CONTINUING DATA *****</b><br>This appln claims benefit of 60/451,469 03/03/2003   |   |   |   |   |                                 |
| <b>** FOREIGN APPLICATIONS *****</b>  |   |   |   |   |                                 |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b><br>** 05/20/2004   |   |   |   |   |                                 |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>met Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>UNITED KINGDOM | <b>SHEETS DRAWING</b><br>12   | <b>TOTAL CLAIMS</b><br>90                   | <b>INDEPENDENT CLAIMS</b><br>13 |
| <b>ADDRESS</b><br>26694   |   |   |   |   |                                 |
| <b>TITLE</b><br>SYSTEM AND METHOD FOR MANAGING INVESTMENT FUNDS   |   |   |   |   |                                 |
| <b>FILING FEE RECEIVED</b><br>1510  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |   | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |                                 |